

# TOPH (True Retrieval Of Proteins Homologs): Adapting A Contrastive Question-Answering Framework for Protein Search

Ron Boger\*<sup>1</sup>, Amy Lu\*<sup>2</sup>, Seyone Chithrananda\*<sup>1</sup>, Kevin Yang<sup>2</sup>, Petr Skopintsev<sup>1</sup>, Ben Adler<sup>1</sup>, Eric Wallace<sup>2</sup>, Peter Yoon<sup>1</sup>, Pieter Abbeel<sup>2</sup>, Jennifer Doudna<sup>1</sup>

<sup>1</sup> UC Berkeley, Innovative Genomics Institute

<sup>2</sup> UC Berkeley, Berkeley Artificial Intelligence Research



Innovative Genomics Institute



**TL;dr:** We present a **protein semantic similarity search** method for **RNA-Guided endonuclease discovery**, inspired by dense retrieval methods in open-domain question answering, and augmented by domain-specific hard negatives during training.

## Motivation: Discovering New Biology Through Search

- ❖ Identification of **protein homology** (proteins which share evolutionary ancestry) is a critical tool for discovery in biology
  - E.g. metagenomic mining for **CRISPR-Cas enzymes** to harness sequences created through natural evolution for gene editing
- ❖ Homology detection provide insights into **structure and function**, but is challenging for **remote homology detection**
  - Traditional bioinformatics methods such as BLAST and HMMER relies on sequence match, which may neglect evolutionarily related sequences of bioengineering relevance, but has low sequence similarity to query
- ❖ **Structural searches** (DALI, TM-align) confer higher sensitivity, but at **infeasible speeds** for large protein datasets (1+ mo for all v all protein search)
- ❖ Searching for **semantically similar words** with **low sequence similarity** in a large natural language dataset offers an analogous challenge
- ❖ Can we adopt similar embedding-based and contrastively trained methods to find remote homologs with similar functional & structural semantics?



Proteins of identical function and structure can have little to no sequence similarity!

## Dense Passage Retrieval (DPR)

- ❖ Adapts Dense Passage Retrieval (DPR), a method from open-domain question answering, to improve protein homology search.
  - Contrastively trained to distinguish a “correct pair” amongst other “incorrect pairs”
- ❖ Using a dual encoder architecture with ESM2 (Lin et al.) as the embedding method, finetunes final layers using full proteins as the ‘questions’ and ‘passages’ in the DPR framework.
  - Model must capture features relevant for semantic similarity, rather than sequence-level matches in traditional methods.
- ❖ Employs **hard negative sampling** and **in-batch negative sampling** from misclassified proteins during training
  - Adds domain-relevant inductive biases through data curation
- ❖ At inference, **retrieves the top k closest embeddings** to the query as the homologs.

$$\text{sim}(q, p) = E_Q^T(q) E_P(p)$$

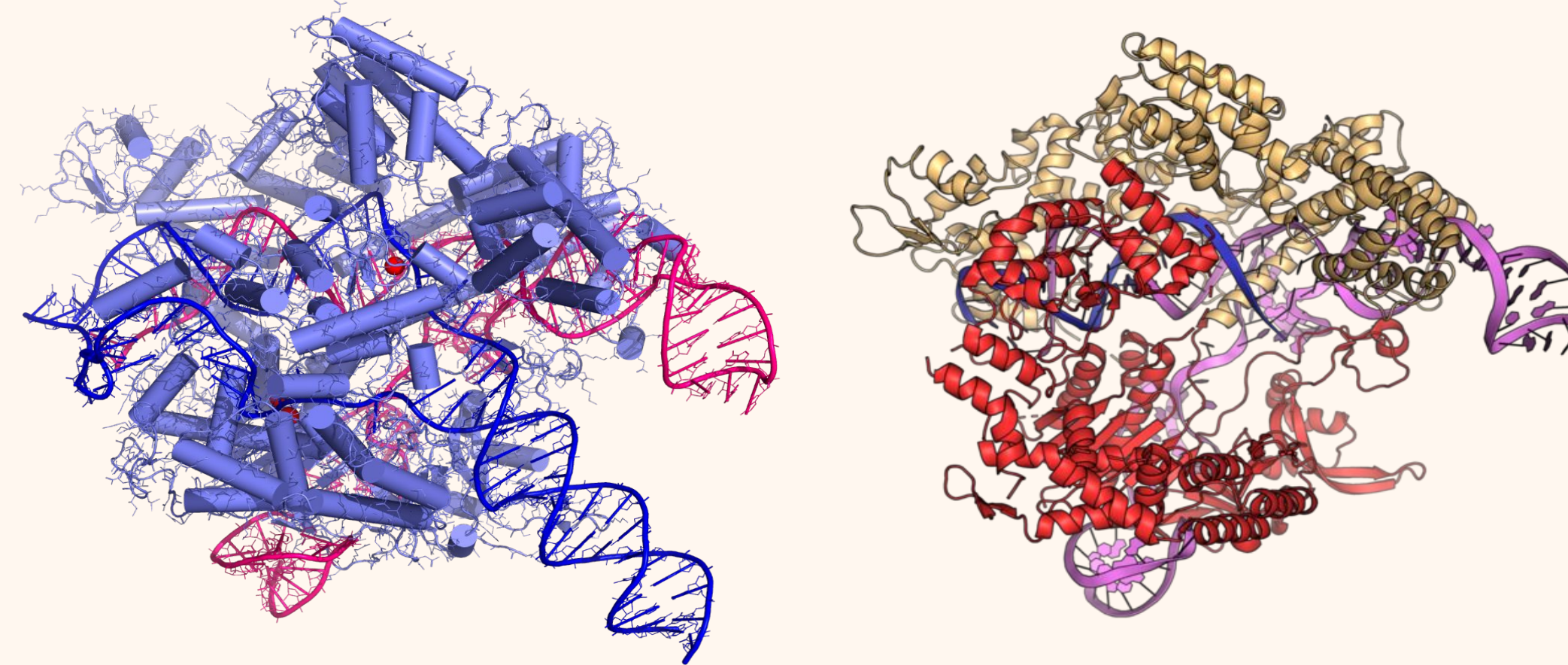
A biencoder model with dot-product similarity is fine-tuned on homologous protein sequences

$$L(q_i, p_i^+, p_{i,1}^-, \dots, p_{i,n}^-) = -\log \frac{e^{\text{sim}(q_i, p_i^+)}}{e^{\text{sim}(q_i, p_i^+)} + \sum_j e^{\text{sim}(q_i, p_{i,j}^-)}}$$

The model is trained using a contrastive objective function that maximizes the similarity between positive protein pairs while minimizing their similarity to negative examples.

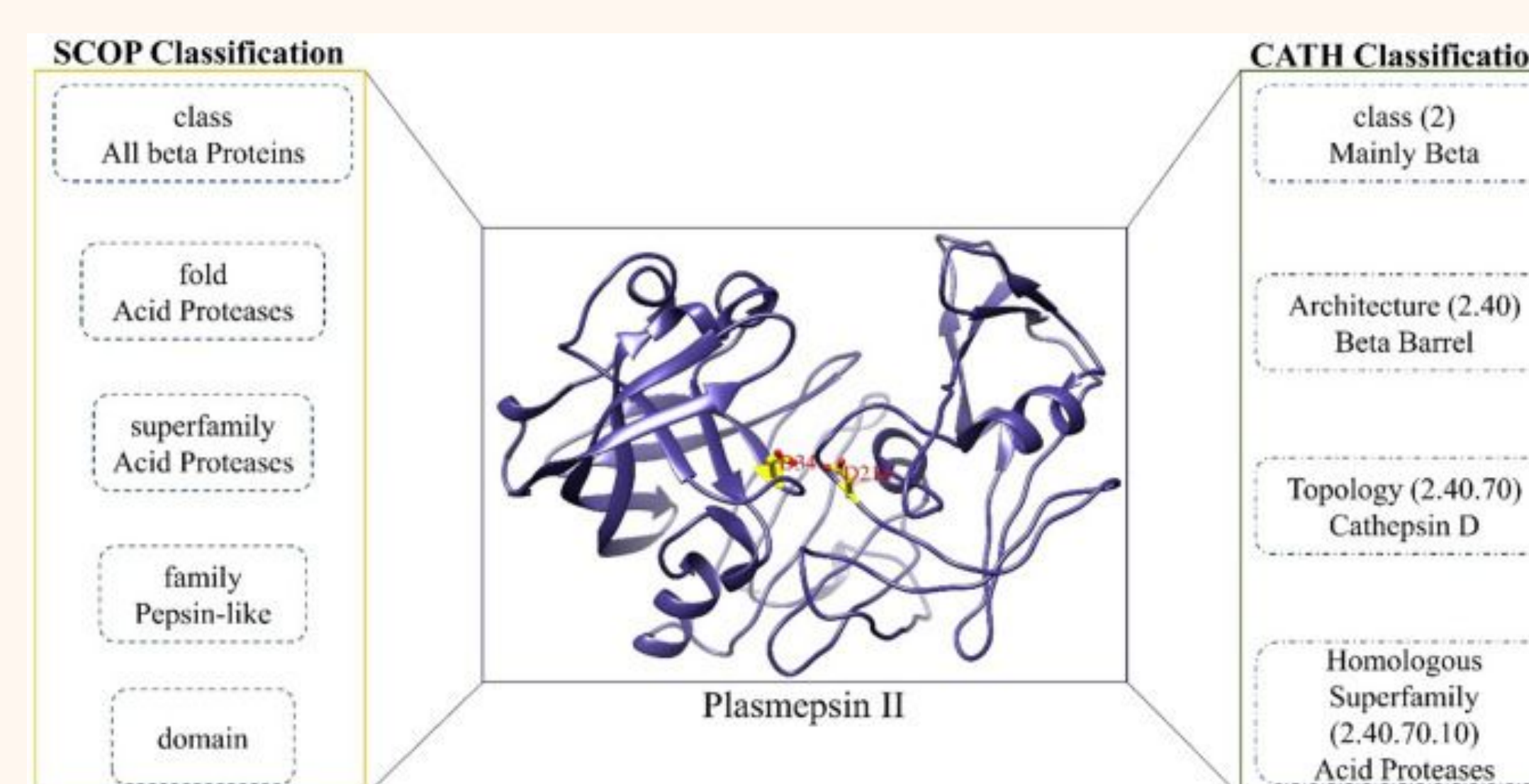
## RNA-Guided Endonucleases are Remote Homologs

- ❖ We utilize a diverse CRISPR-Cas and **evolutionary related nucleases protein dataset** for remote homology detection, a key component of **bacterial defense against foreign genetic elements**.
- ❖ We introduce **2 datasets**, drawn from multiple sources and hand-curation from structural biologists, offers **verifiable remote homologs** due to the unique positioning of Cas genes upstream of CRISPR loci.
- ❖ RNA-Guided Endonucleases, such as **CRISPR-Cas9**, display incredible **diversity in structure and sequence** and may be a valuable testbed.
- ❖ Evidence suggests **limitations of existing models in detecting Cas proteins**, highlighting the need for improved methods.



## Model Training

- ❖ Trained on **Astral Structural Classification of Proteins 2.08 (SCoPE)** clustered at **40% sequence similarity**
  - Dataset has intrinsic hierarchical structure:
    - **Family**: significant sequence identity
    - **Superfamily**: different families with structural and functional similarities
    - **Fold**: different superfamilies with the same topological arrangement of major secondary structures
    - **Class**: secondary structure composition
- ❖ **15,177 domains** in the training set across **4693 families**.
- ❖ For evaluation, we use a test set of **400 domains**, ensured to have less than 30% sequence identity to the training set proteins.
- ❖ **Two models** were trained: one fine-tuning **esm2\_t6\_8M\_UR50D** and the other **esm2\_t33\_650M\_UR50D** as the question and passage encoders.
- ❖ Trained on a **single NVIDIA A100 GPU**



The model is trained using a contrastive objective function that maximizes the similarity between positive protein pairs while minimizing their similarity to negative examples.

## Results

	Family	Superfamily	Fold
ESM2 (8M)	0.412	0.265	0.010
ESM2 (650M)	0.314	0.134	0.010
ESM2 (3B)	0.477	0.221	0.014
MMseqs2	0.433	0.165	0.001
TM-Vec	0.848	0.596	0.121
TM-Align (avg)	0.868	0.619	0.163
DALI	<b>0.885</b>	<b>0.709</b>	<b>0.168</b>
Foldseek	0.821	0.578	0.070
Progres	0.878	0.680	0.144
<b>TOPH (ESM-650M)</b>	0.818	0.528	0.065
<b>TOPH (ESM-8M)</b>	0.571	0.392	0.0376

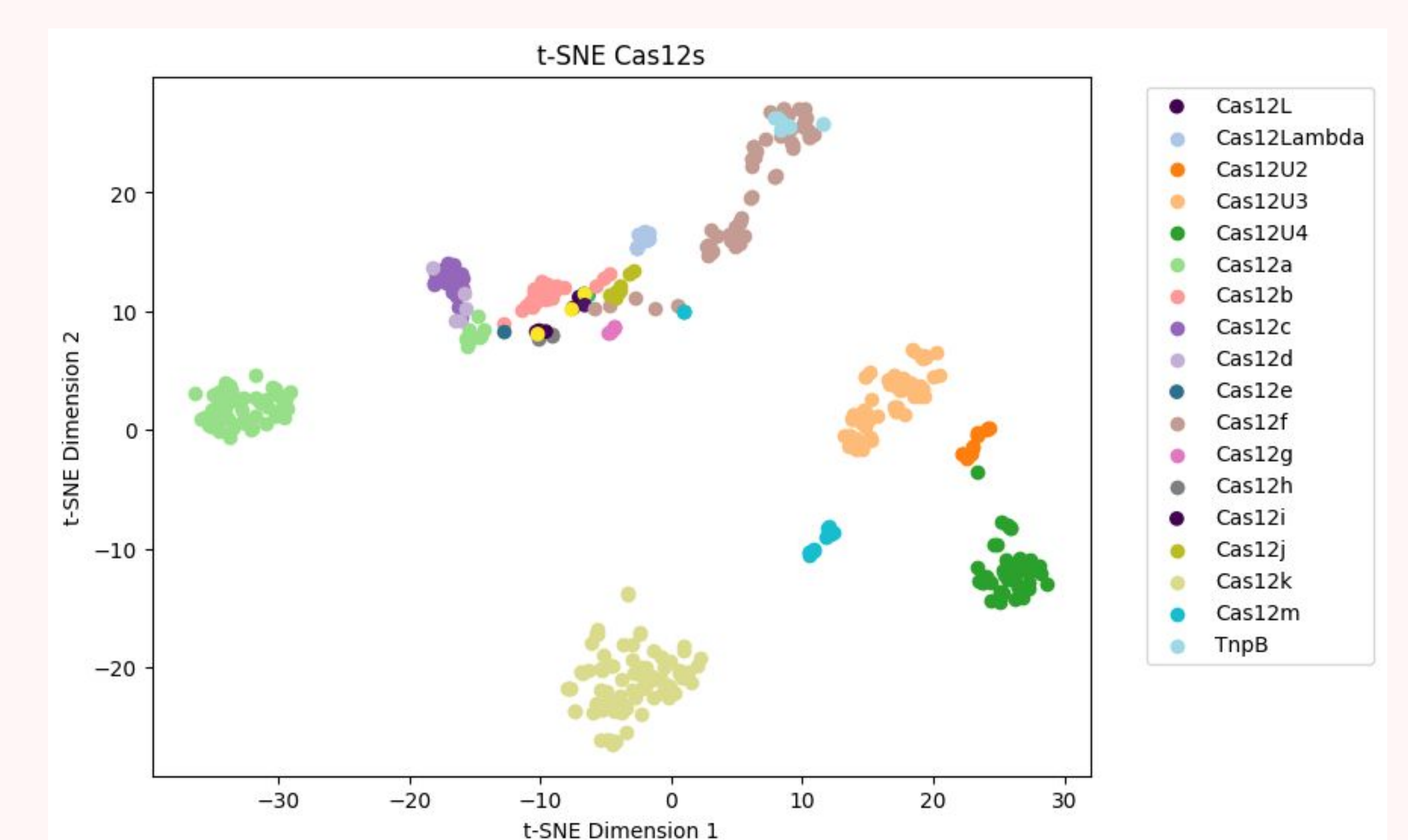
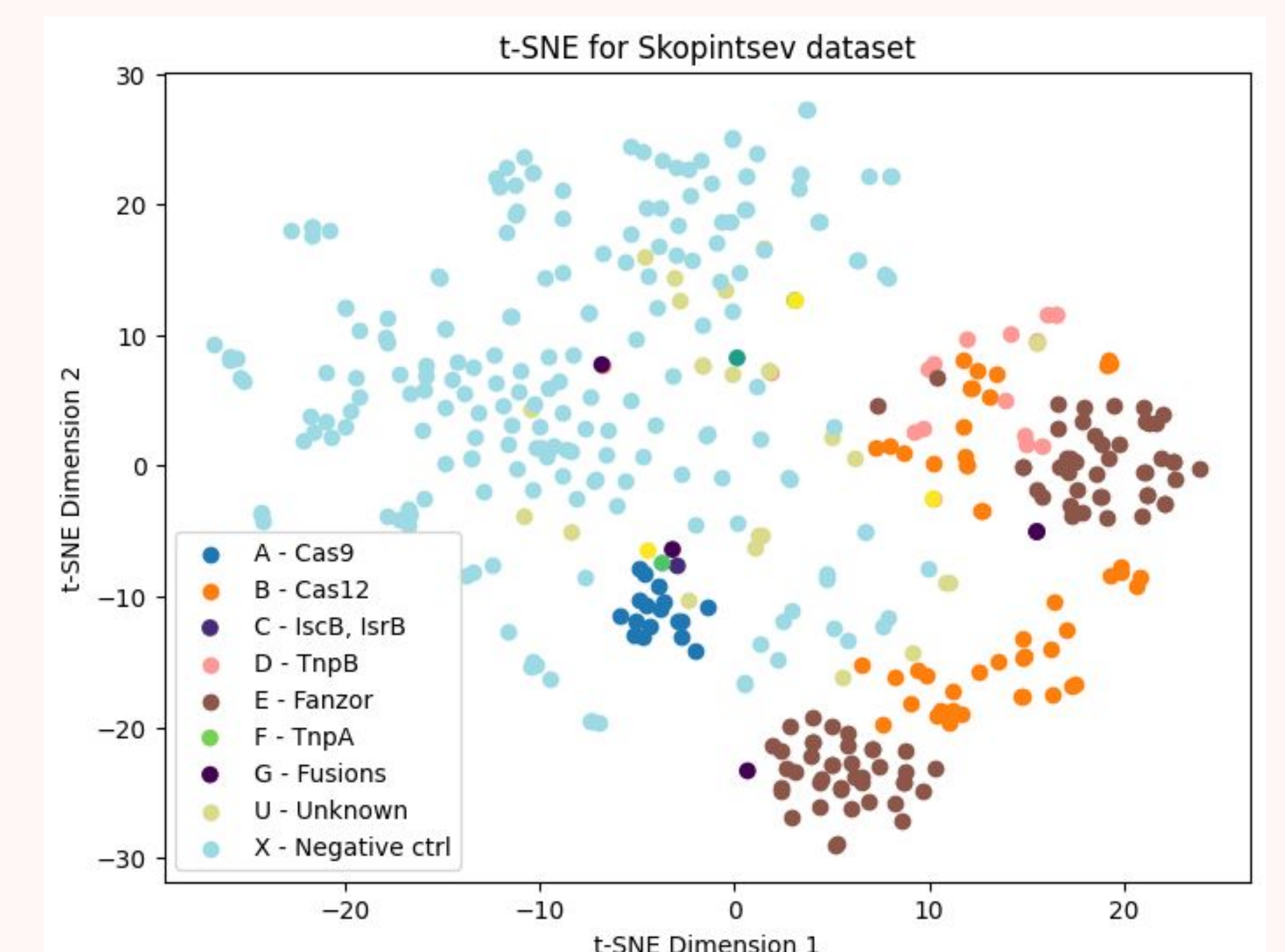
## Results

### SCoPe2.08 Evaluation

- ❖ Sensitivity was measured as the **fraction of true positives (TPs) until the first incorrect fold**.
- ❖ Results were **comparable to structural methods**, but **without processing or folding**.
- ❖ Despite no hyperparameter tuning or training on multiple GPUs, **TOPH outperformed all classical sequence models and ESM models** that were not fine-tuned on the family detection task.

### Cas enzyme Identification

- ❖ **Cas12 Differentiation**: Our model successfully distinguishes between different Cas12 subtypes and ancestors, with uncharacterized proteins Cas12U2, Cas12U3, and Cas12U4 emerging as distinct, hinting at unique biological roles.
- ❖ **Skopintsev Dataset**: Our model differentiated between Cas9, Cas12, and their ancestors, revealing more diversity within the Cas12 group.



## Future Directions

- ❖ Enable sequence-structure search by employing a structure encoder for query sequences
- ❖ **Curriculum learning** (i.e. increasing difficulty via data curation) on family, superfamily, fold
- ❖ Improve bioinformatic usability for large-scale databases:
  - Incorporate “reader” of protein domains, following theme of retriever+reader in DPR
  - Incorporate high-capacity vector-based similarity search infrastructure (e.g. FAISS)
- ❖ Incorporate retrieval-augmented generation

## References

1. Dense Passage Retrieval for Open-Domain Question Answering. Karpukhin et al. 2020
2. Evolutionary-scale prediction of atomic-level protein structure with a language model. Lin et al. 2023
3. Avatar: The Last Airbender. Michael Dante DiMartino and Bryan Konietzko 2005

